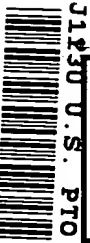


07/09/01



09/01/99 "070901"

07-10-01  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C. AUTILITY  
PATENT APPLICATION  
TRANSMITTAL(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.

1571.1058-010

First Named Inventor or  
Application Identifier

Robert B. Nilsen

Express Mail Label No.

EL 762233463 US

Title of  
InventionTEXTURED RETROREFLECTIVE PRISM STRUCTURES AND MOLDS FOR FORMING  
SAME

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)2. ☒ Specification **Total Pages [ 28 ]**  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to microfiche Appendix
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) **[Total Sheets [ 10 ]**  
☒ Formal ☐ Informal  
☒ Fig. of the Drawings for Publication **[ 8 ]**4. ☒ Oath or Declaration/POA **[Total Pages [ 6 ]**

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 18 completed)

**[NOTE Box 5 below]**

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)

**[ ] Pages**c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)☒ Assignee - Reflexite Corporation  
120 Darling Drive, Avon, CT 06001-42179. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS  
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)14. ☐ Small Entity ☒ Statement filed in prior application,  
Statement(s) status still proper and desired15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Nonpublication Request (check parent application)17. ☒ Other: Remarks

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/883,329

Prior application information: Examiner: Loney, D.

Group Art Unit: 1772

## 19. CORRESPONDENCE ADDRESS

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(781) 861-9540

Signature

Date

July 9, 2001

Submitted by  
Typed or Printed Name

Erik L. Ence

Reg. Number

42,511

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>	Attorney Docket Number	1571.1058-010
	Application Number	Continuation of 08/883,329
	First Named Inventor	Robert B. Nilsen

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	16 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3 - 3** =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$ 0
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 710
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 355
	TOTAL =				\$ 355
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 0
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 0
	Assignment Recordation Fee = (only when filed with application)				\$ 0
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 355


1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$355.00. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature		Date	July 9, 2001
Submitted by Typed or Printed Name	Erik L. Ence	Reg. Number	42,511

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>		Attorney Docket Number		1571.1058-010	
		Application Number		Continuation of 08/883,329	
		First Named Inventor		Robert B. Nilsen	
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	16 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	3 - 3** =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>			+ \$ 270 =	\$ 0
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 710
				Total of above Calculations =	\$ 710
	Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =				\$ 355
	TOTAL =				\$ 355
	Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =				\$ 0
	Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =				\$ 0
	Assignment Recordation Fee = <small>(only when filed with application)</small>				\$ 0
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent				TOTAL = \$ 355	
<p>1. Small entity status:</p> <p>a. <input type="checkbox"/> A small entity statement is enclosed.</p> <p>b. <input checked="" type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p>c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$355.00. <input type="checkbox"/> Please charge \$[ ] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p>					
Signature		<i>Erik L. Ence</i>		Date	July 9, 2001
Submitted by Typed or Printed Name		Erik L. Ence		Reg. Number	42,511

11000 U.S. PTO  
09/01/99



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert B. Nilsen, Christopher Alan Barnett, and Nicholas John Phillips

Continuation Application of

Application No.: 08/883,329

Filed: June 25, 1997

For: Textured Retroreflective Prism Structures and Molds for Forming  
Same

Date: July 9, 2001

EXPRESS MAIL LABEL NO. EL 7622334634S

REMARKS

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The above-captioned application is a continuation of U.S. Patent Application No. 08/883,329 filed on June 25, 1997, to which priority is claimed under 35 U.S.C. § 120.

The related applications paragraph has been revised to include the parent application. No new matter has been added.

An Assignment for this application was recorded on Reel 9413, Frames 0413-0422 on August 28, 1998 for Application No. 08/883,329 to which this application claims priority.

09001199-070901

Claims 1-12, as originally filed, have been omitted, and new Claims 1-16 have been substituted therefor, which correspond essentially to originally filed Claims 13-26 of Application No. 08/883,329.

Respectfully submitted,  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.



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Erik L. Ence  
Attorney for Applicants  
Registration No. 42,511  
Telephone: (781) 861-6240  
Facsimile: (781) 861-9540

Dated: July 9, 2001  
Lexington, Massachusetts 02421-4799

09901199-070901